Getting Ready to Read

Before you read, do at least one of the following activities:

- Write a definition of rhetoric as you understand it right now. What does the word mean to you? How do you usually hear it used?
- Look up the word ecology. Your definition will probably talk about relationships between organisms and their surroundings. Make a list of the ecologies that you personally participate in. Start thinking about what it means to be a part of an ecology—what kind of networks you participate in, and how the various elements of that network influence each other.
- Think about someone you know who is famous for their rhetoric or their abilities with oratory. What is the person you thought of famous for? What was memorable about their rhetoric?

As you read, consider the following questions:

- How does what Downs is saying connect with what you already knew about rhetoric?
- What concepts discussed in this selection do you wish you could learn more about right away?

This guide walks you through rhetoric, a set of principles for human interaction that most people know unconsciously but don’t think much about. Rhetorical principles organize and explain much of human communication, interaction, and experience. But most high school and college students don’t study them in much depth, and in fact, in earlier schooling most have been taught ideas that conflict with principles of rhetoric. (Many come to believe, for example, that there are rules of writing that are true in all writing situations; rhetoric suggests there are not.) The pages that follow synthesize more than 2,500 years of rhetorical theory—so buckle up! When you know rhetoric, you know how people make up their minds and how they change them. You know how people make meaning of the world around them. You know how people come to believe that an idea is true, that it counts as “knowledge.” When you know rhetoric, you gain a kind of “signal intelligence” that makes you a more powerful reader and writer.

Usually, by the term “rhetoric” western culture means something different than any of that. Common conceptions of rhetoric include the following:

- “Calculated political BS” (as in, “The congressman’s rhetoric is just grandstanding to make it look like his opponents want to kill puppies”).

“Dressing up a bad idea in convincing words” (as did Hitler).

“Style lacking in substance” (as in, “That environmental activist’s speech is very moving but it’s just rhetoric”).

“Asking a rhetorical question” that everyone already knows the answer to just to make a point (as in, “You took my car out and now the fender’s crumpled—how do you think that happened?”).

“Persuasive tactics” (as when Aristotle said that rhetoric is the art of seeing “the available means of persuasion in each case”).

Those five uses of the word “rhetoric” constitute the total knowledge of most people on the subject. This guide will cover different ground about “what rhetoric is.”

Rhetoric explains why I started this piece by saying what it isn’t. There’s a principal of human interaction that if readers have one idea about a concept (like “rhetoric is political BS”) and a writer wants to present a contrasting idea, she needs to first explain that her idea comes from a much different place than where the readers are starting. Otherwise, readers may not realize the writer is using a different “lens” and, trying to interpret the writer’s text just through their own lens, may not get a good understanding of what she’s trying to say. “Rhetoric” refers both to such principles of meaning-making, and to the use of those principles in a given interaction.

What does the term “Rhetoric” apply to?

One frustrating aspect of studying rhetoric is that the word has so many meanings. That makes it hard to get a grip on what “rhetoric” refers to, which is not a comfortable feeling for most people. When it comes to rhetoric, though, this fuzziness is normal, and gradually it diminishes. In the beginning, it helps to think about what rhetoric “does.”

Consider this comparison: The term rhetoric is like the term gravity—a set of principles that explain and predict how chunks of matter interact. (Remember Newton’s laws of gravity?) But “gravity” also refers to that interaction itself, the universal condition (“force”) in which matter is attracted to other matter. In computer or gamer terms, we could say that gravity is an “operating system” for the interaction of matter—it sets the rules and structures for how everything will interact. Now, a lot of people—skiers, skydivers, astronauts, auto racers, high-jumpers, airplane pilots, gymnasts, scuba divers—aren’t just “subject to” gravity but are artful users of gravity. They take this independently existing force that is simply written into the nature of matter and which all matter, including humans, is thus subject to—and they strategically apply it to make their activities possible.
In a similar way, the term rhetoric refers to a set of principles that explain and predict how people make meaning and interact. But like “gravity,” “rhetoric” applies not only to the principles for human interaction but to that interaction itself, the embodied expression of those principles. So, rhetoric is an operating system for human interaction and meaning-making. Rhetoric also refers to the artful use of those ever-present rhetorical principles. Some people learn to be rhetorical experts who can take those underlying principles shaping human interaction and finesse them in specific activities. The most obvious of these experts include counselors, comedians, lawyers, judges, advertisers, journalists, writers, and diplomats. But there are almost no human activities that don’t involve interaction with other humans, which means that there is almost no activity you might be involved in that you can’t do better as an aware user of rhetoric. Nurses and surgeons specialize in working on and healing people’s bodies, but insofar as that work requires communicating with other doctors and nurses and with their patients, a nurse or surgeon who is a rhetorical super-user will probably get better results than those who aren’t. Engineers? Same story. They aren’t hired for their communication ability, but the engineers who can’t write wind up working for the ones who can. Mathematicians are in the same situation. Most of what they do with math is rhetorical— including debating with other mathematicians about the best ways of doing math.

So start here: Rhetoric is pervasive. Given that it is an operating system for human meaning-making and interaction, any time we are making meaning and interacting— otherwise known as “being human”— we are using rhetoric. There isn’t any way to communicate without using rhetoric or “being rhetorical.” Communication is inevitably rhetorical. You can’t choose whether or not to use rhetoric. The only question is how you’ll use it when you’re more aware of how it works.

Another good place to begin is recognizing the ways rhetoric can help us. Since rhetoric helps us understand human communication, there are a variety of problems or questions that rhetoric can help us make sense of. From its ancient roots as a study of persuasive speech, rhetoric offers explanations for how we make up our minds and how we change them. Because rhetoric has to do with how we make meaning of our experiences, it also gives us some keys to understanding how we know what we know. (The technical term for this subject is epistemology.) The rhetorical principles discussed here can even reveal something about who we are likely to admire and befriend. In its narrowest application, rhetoric lets us study how we can most effectively communicate. In its broadest, it shows us ways of being and ways of recognizing the being of others.

BEGINNING WITH BODIES

Rhetoric begins in the biology of how sentient bodies experience information and interaction via signals and symbols. Kenneth Burke, one of the premier rhetorical theorists of the 20th century, showed that rhetoric always involves symbolic acts, in which meaning is made when one idea or object stands for another. Such substitution is the entire basis of language, in which sounds stand for (symbolize) ideas and objects. But language of course is not the only symbol system we encounter as humans; humans can turn anything into a symbol. We make symbols of clothing, jewelry, objects like cars and homes, animals (mascots), and human behaviors from winks to jumps. (Like in Game of Thrones where “bending the knee” symbolizes kneeling which in turn symbolizes accepting a ruler.) As babies leave infancy they learn that crying symbolizes physical needs (food, warmth, changing, comfort) to their caretakers. Children quickly associate one particular stomach sensation with hunger (we say that feeling is “a sign” of hunger) and a different stomach feeling with illness. You use symbols every time you encounter a green light hanging above the intersection of two streets, and know that this means “go,” or a red octagon on a street corner, and know that this means “stop.” Making one object or concept stand for some otherwise unrelated concept or object is one of our most basic human ways of making meaning.

Rhetoric begins with this very basic element of sentient (self-aware) embodiment. While we most often focus on language as the vehicle for symbolizing, communication scholars have shown that the majority of human communication and nearly the entirety of human/animal communication is nonverbal. Eyes, faces, the tilt of a head, hands, limbs, stance, posture, gait, demeanor, perspiration, voice and tone—our bodies create myriad signals that reveal to others a wide range of information about us. Add to this list the signals your body creates that can only be sensed by you—like the butterflies in your stomach when you show up for a first date (though your date may be able to read something similar on your face). But to the extent you attend to your felt senses throughout an experience, those feelings and sensations are a crucial root of the meaning you make of each moment. What we usually think of rhetoric as a human activity, but it’s not difficult to identify rhetorical activity among a wide variety of animal species, as both George Kennedy and Natasha Seegart have demonstrated.

Perhaps the most extensive writing on this subject is by Sondra Perry in her 2004 book Felt Sense.
 cilantro taste like soap? We actually don't know. About 10 percent of the population thinks so, and the rest don't perceive it that way. The reality that cilantro is impossible for us to encounter except through the filters of our senses. Our ears and eyes similarly give us access only to a portion of reality. Our eyes, which account for about 40 percent of our brains' sensory processing bandwidth, have a "frame rate" of 16 to 20 images per second—we don't actually see "continuously" as it appears to us that we do. Instead, our eyes take many still images per second and our brains "fill in" the gaps to create our perception of seeing moving images.

Our senses, then, are essentially filters that give us partial information, which our brains must interpret into a sensible whole. Happily, our brains are pretty good at stitching that incomplete information together. We do that through another basic brain operation, association: we make sense of new information by connecting it to (associating it with) known information. If a friend tells you they're going to go deposit a check, you can reasonably "know" that they're going to a bank—because prior knowledge and past experience tell you (1) what "deposit" is, (2) what "check" is, and (3) where one usually needs to go in order to "deposit" a "check." If it weren't for your brain's ability to create vast "neural networks" that make and recall associations between new objects and ideas and those you've previously learned, you wouldn't be able to accomplish much. All this perception and interpretation, though, wreaks havoc with tasks like eyewitness testimony in courtrooms. Study after study has demonstrated that in high-stress situations like witnessing a crime, what people report seeing afterward is usually more what they would have expected to see (that business about making sense of new information by associating it with and interpreting it in light of prior knowledge) than what they could actually have witnessed.

Not all of our interpretation of signals is driven by our bodies, but much of the meaning we make ultimately is. Linguist George Lakoff and Mark Johnson use a study of metaphor to show just how much of our everyday language is driven by bodily, physical comparisons. For example, our culture believes that a mind is a brittle object, as exemplified by language like "His ego is very fragile." "She just snapped," "He broke under cross-examination," "She is easily crushed," "the experience shattered him," and "I'm going to pieces" (28). Metaphor links our bodily experience to many concepts. Bodily, up is generally good and down is generally bad, as we associate "down" with illness, depression, unconsciouness, and death and "up" with health, energy, vigor, "sharpness," and vitality. Lakoff and Johnson then show that we also associate up with having control, force, or power: "I have control over her. I am on top of the situation. He's in a superior position. He's at the height of his power. . . . He is under my control. He fell from power. His power is on the decline. . . . He is low man on the totem pole" (15). This everyday metaphorical function of language is evidence that much of our meaning-making relates to bodily experience.

Human interaction and meaning-making is at heart, then, the experience of encountering a vast range of sensory signals and interpreting them by associating them with networks of our existing knowledge. Your average human is a genius at assembling a stream of disparate signals into a sensible whole, and this is the physical reality that rhetoric works with. A colleague of mine, Kimberly Hoover, accordingly describes rhetoric as "signal intelligence," because rhetoric is ultimately about the ways that we make sense of and respond to the many signals in our experience of a moment—signals from other people, from our surroundings, and from our own bodies. Rhetorical theorist George Kennedy suggests the parallel term energy: "the emotional energy that impels the speaker to speak, the physical energy expended in the utterance, the energy level encoded in the message, and the energy experienced by the recipient in decoding the message" (106).

All this biology and physics together have the following implications for rhetoric: First, bodies matter to knowing, meaning-making, and interaction. So rhetoric must be about bodies as much as minds, and about the material as much as the conceptual. Which may be counterintuitive, because western culture since at least Descartes ("I think, therefore I am") has a tradition of, incorrectly, radically separating the mind and body. Contemporary rhetoric, particularly feminist rhetorical theory, makes clear the centrality of the body in making meaning, knowledge, and rhetoric (Kirsch and Royster, Wender).

Second, because our knowledge, filtered through sensory perception, can only ever be partial and selective, human interaction can never be based on complete, objective knowledge. Objectivity is not physically or biologically possible for humans; we are limited to selectivity and partiality, which makes bias (literally slanting) inevitable. We can be more or less objective by trying to get the most complete view of a situation or experience possible, but we can never achieve objectivity. Rhetoric must therefore not rely on, or be about the achievement of, objectivity. Rather, it must have something to do with letting people interact without objectivity—as subjective beings.

Third, because we make meaning by interpreting filtered sensory information, we cannot think about events directly; we can only think about our interpretation of events. You can experience a moment, but as soon as you reflect on that moment, try to put it in language, or look at a picture of it, you're no longer in that moment or talking about that moment; you're talking about your interpretation of it. The implication is that human interaction is always interpreted: what I say next depends on my interpretation of what you said last, and only unreflected-upon bodily experience can be (briefly) uninterpreted. So rhetoric must be a system for and art of interpreting other people's actions.

Fourth, our bodies' ways of making meaning by putting new information in terms of known information (symbolism) or figuring out its relation to known information (association) suggest that rhetoric is always a matter of the symbolic. If a phenomenon is, or can be made into, a symbol, it is rhetorical. Where there are people, there is, inevitably, rhetoric.
CHAPTER 4 • RHETORIC

A RHETORICAL GUIDE TO HUMAN INTERACTION

Most moments of rhetorical action stem from people trying to do things with or to each other, cooperatively or agonistically (in competition). People work on a Golden Gate bridge or a rocket to the moon. People farm a field or run cattle on a ranch. People clean up tidal marshes after an oil spill. People try to impose their religion on others by war. People become lovers. People run a school board. In every such scene, people work with, for, through, and against each other, every bit of that work happening according to a web of rhetorical principles entwined with and always leading back to one another. In this section, I’ll overview some of those principles and how they fit with one another by telling the story of Maria, Jan, and Jayla, grant writers at Reading Rivers, a nonprofit center for children’s literacy in the rural West.

Rhetorical Elements
- Motivation
- Ecology
- Rhetors / Network
- Context
- Exigence
- Karios / The Moment
- Interaction and Collaboration
- Knowledge Making
- Narrative / Making Present
- Values (Pathos Appeals and Mythos)
- Reasoning (Logos Appeals)
- Identification
- Ethos Appeals
- Adherence
- Canons (Rhetorical Arts)

Motivation

As grant writers, Maria, Jan, and Jayla come to Reading Rivers each day with a particular motive: to raise money from government agencies, charitable donors, and corporations—money to buy books for children, to support local libraries, and to host literacy events for kids from poor families in remote areas of the western United States that lack much funding for public arts and social services. The entirety of the grant writer’s fundraising work is done through rhetorical interaction with people all over the country, and one starting point of that interaction is always the team members’ motives for interacting. Rhetoric is always motivated. People in rhetorical interactions—writers and readers—are always having those interactions for particular reasons that relate to what they want or need from the interaction. As discussed in the previous section, human biology makes objectivity impossible; we are always subjective, partial, and biased. Our motives are another aspect of that subjectivity.

In this case, the grant team’s most obvious motives are to raise money for the cause they work for: helping children in poverty to become better readers and writers. But other motives are in play as well. The team members are paid by Reading Rivers to bring in funds; if they don’t succeed in doing so, they might lose their jobs. Suppose that Maria grew up in poverty, and she sees literacy as a powerful way to combat it; one of her motives might be getting children out of poverty. Perhaps Jayla cares about social justice and is trying to provide poor people the same opportunities as wealthier people. Ian might like developing public funding for the arts and can use this job as a way to do so.

We cannot attend only the motives of the writers in the interaction, though. With some reflection, it should be obvious that a listener’s or reader’s purposes or desires and the reasons for them (motives) shape the interaction, too. Our grant writers have to care about their readers’ motives and resulting subjectivities. Grant reviewers are motivated to follow the correct process in awarding grants; they’re also motivated by their interpretations of what will make the best use of grant funds to meet the purpose of the grant. And beyond writers and readers, there will be other nonhuman agents that bring motives to the interaction. The shared root of motive, motivate, motivation, and motion (Latin motivus, from movere) is to move. To say that rhetorical interaction is always “motivated” is to say that it is always moved by forces, causes, and desires. Some of these may be human and conscious; others may be human and unconscious. Others may not be human at all. In the same way that we can say water “wants” to run downhill, or that water is “motivated” (forced) to run downhill, we could say that government funds “want” (by nature of being what they are) to be spent rather than be saved. This motive of the funds themselves matters for grant writers. If our writers are applying for a grant for a library, it matters that libraries have particular motives (for example, to build collections of books). In this way, rhetorical theorists like Laura Mcciche argue that it is not just human rhetors who have agency in rhetorical interactions; other entities that influence and constrain interactions can be understood as motivated and controlling as well.

Ultimately, the motives in rhetorical interaction are extremely complex, and many will be hidden or unknown—but all are central to the interaction. The better you understand all of the motives playing into a given interaction, the more likely you are to be an effective rhetor in that interaction.

Ecology

It’s obvious that a rhetorical interaction has to take place somewhere (or multiple somewheres) in space and time. We could call it a scene (invoking a sense of drama, as Burke does), a site (invoking a bounded sense of physical space), a setting (invoking a sense of story), or a situation (invoking a sense of “state of affairs” or circumstances). The most common term is rhetorical situation, used by theorists like Lloyd Bitzer and Keith Grant-Davie. But probably the
best current term for rhetorical situations, pioneered by a number of scholars including Marilyn Cooper and Jenny Edbauer, is ecology, because it invokes a sense of a place defined by a network of myriad interconnecting and almost inseparable elements that all shape the rhetorical interaction and meaning that emerges from them.

In the case of Jayla, Maria, and Ian at Reading Rivers, any given grant proposal they write has its own ecology. Common elements across all their grants would be the writers and Reading Rivers itself. Each element is a rhetor, an actor in a given rhetorical ecology who influences, creates, encounters, or reads the text or discourse in question. One grant proposal Jayla and Maria are working on is a U.S. Department of Education grant for after-school programs. Those two writers are the main producers of the grant proposal. But the proposal has many other contributing rhetors who are, in essence, also authors. The first of these are the people in the USDE who created the grant program, solicited proposals, and will read them during proposal review. These are the people who wrote the instructions for how the grant proposal is to be completed—so in many respects they are telling Jayla and Maria what to write, and how. They aren’t the “authors,” per se, but as the proposal’s readers, they help constitute the ecology, the network of influences and actors, that is the environment in which Jayla and Maria are writing. Writers and readers—you might imagine that’s the end of the list of rhetors. But also in this rhetorical ecology are the people whom the grant is for, rural schoolchildren and their teachers and families. If Reading Rivers is doing its job well, the organization has asked these people exactly what they need and would like in their after-school program—so these kids and parents have contributed to the design of what Jayla and Maria are seeking funds for, and that makes them “writers” of the grant proposal as well. Even if they hadn’t contributed, that they will be affected by the grant proposal makes them a part of the rhetorical ecology and therefore rhetors just the same. What emerges in any rhetorical ecology, then, is a network of rhetors, many people with a variety of connections to each other.

Using the term ecology reminds us to look beyond people for even more elements influencing the writing. Can machines be rhetors? Imagine Maria’s computer crashing as she drafts, the hard-drive eating itself and taking her draft and supporting documents with it. Maria has to start over—which we can expect will lead to writing of a different shape than it would otherwise have been. So in the rhetorical ecology leading to a given text or discourse, yes, a machine is a rhetor. Scholars like Thomas Rickert in his work on “ambient rhetoric” trace a wide range of environmental conditions that contribute to a rhetorical interchange, all interacting to give our discourse whatever shape it ends up taking. In a rhetorical ecology there may be pets, food and drink, furniture, even lights, colors, and music which become rhetorical agents in this fashion.

Another way of understanding a rhetorical ecology is as the overall context in which a rhetorical interaction (or set of interactions) takes place. Importantly, this context includes the exigence of the interaction, which Keith Grant-Davie defines as the need for a given rhetorical interaction to occur to begin with. What needs, desires, and motives in the rhetorical ecology, we can ask, “call the interaction into being to begin with?” If the ecology spans what Grant-Davie calls composed rhetorical situations, there will be multiple exigencies. For Maria and Ian, for example, one exigence is that the USDE has created this grant to begin with, and a proposal is required to secure funding from it. But another exigence is why the grant was created in the first place: a difficulty in providing literacy education in poor, rural areas. We know that each grant writer has their own motivations for participating in this grant writing; we could think of exigence as the ecology’s own “motivations” for creating a rhetorical interaction to begin with.

We must also consider events in the ecology, for which we have an ancient (Greek) rhetorical term, kairos, which translates to something like “timely good fortune” or simply “lucky timing.” Kairos is the principle that rhetors have far-from-complete control of their texts and discourses, because circumstances beyond their control can intervene to change the moment and what it makes sense to say in that moment. As I wrote the first draft of this paper, Michigan Governor Rick Snyder was set to deliver his annual “State of the State” address. Rather than solely trumpeting his successes as governor, circumstances forced him to focus extensively on the poisoned water supply of Flint, Michigan, which became contaminated with lead due to a decision he supported to attempt to save a small amount of money on the water supply. Snyder was supposed to be the rhetor “in control” of his own speech, but kairos dictated much of what the speech would be about and what Snyder could say in it.

The same power of circumstances and “being in the right place at the right time” (or not) will shape the work of our grant writers at Reading Rivers. If while they’re working on their grant proposal, news is released of a significant drop in reading test scores in the area their after-school program will serve, they would suddenly have an additional reason in support of the need for funding their program. Kairos reminds us that many aspects of a rhetorical ecology to which we must respond are well outside our control as rhetors.

Not only does the notion of a rhetorical ecology help us know to look for as many influences and factors shaping a text or discourse as we can find (either as its writers or its readers), but it reminds us that writers neither write alone nor have perfect control of their texts. Though it is usually portrayed as such in
the movies, writing is not a solo activity. Recognizing rhetorical ecologies helps make clear the interconnectedness and blurred boundaries among various rhetorical agents, showing us how an interaction is shaped not by a single rhetor but by many, and the shape of the resulting text or discourse depends on (is contingent on) the exact interplay among those agents. Rhetorical interaction is therefore inevitably collaborative and shared.

Knowledge Making

Much of what rhetorical theory devotes its attention to is the many ways people have of making a point. This is the place Ian, Maria, and Jayla find themselves, if they have thoughtfully taken account of the rhetorical ecology in which they find themselves on this grant proposal project, and if they're well aware of their own motives as rhetors. What are they actually going to say to explain their program, show the need for and value of it, and make the best possible case for getting grant funds for their project? It may initially seem strange to see this question characterized by the heading knowledge making, but that is quite literally what these rhetors need to do. They need to build their readers' knowledge of what they're proposing and why it's a good idea. To do this, they don't simply "transmit" existing knowledge to other rhetors. Instead, their interaction with other rhetors actually makes new knowledge for those other rhetors, knowledge that hadn't existed before. Rhetorical interaction always makes new knowledge.

Narrative

The first important rhetorical principle here is that of narrative. One line of thought in rhetorical theory is that humans know by storytelling—that we are, in the words of communication scholar Walter Fisher, homo narrans, storytelling people. Fisher, and others such as Jim W. Corder in "Argument as Emergence, Rhetoric as Love," argue that we know ourselves and the world around us through story, and that when people engage against each other, what we are actually hearing is contending narratives, different storylines that conflict with each other. For Corder, people themselves are narratives. Certainly, we know that even the most scientific knowledge-making (rhetoric) proceeds by storytelling. Any science journal article you might pick up will narrate a story.*

*If you associate storytelling with fiction ("made up"), this idea might initially be hard. But remember that factual accounts in everything from courtroom narratives ("Let me tell you the story of the attack on this victim") to news reports ("Did you see the story on that oil train derailment?") are normally referred to as stories. "Stories" need not be fiction. (Or, reaching the same place from the opposite direction, because we cannot recognize or create objective stories, perhaps even the most factually accurate stories are nonetheless a kind of fiction.)

find out X so we took steps A, B, and C, and then looked at the results and saw that L, M, and N. From that, we didn't learn X but we do know Y and Z." From a rhetorical perspective, then, it behooves writers and readers to imagine just about any text or discourse as a narrative or a story, and to frame the work of rhetors as storytelling—creating an account of some knowledge to be shared between writing and reading rhetors.

So is Jayla and Maria's grant proposal an act of storytelling? Absolutely. The proposal reviewers in Washington, DC, need to understand the story of the rural children who will benefit from these grant funds, and they need to read the story of how the grant will make a difference.

Not only do the reviewers need to encounter this narrative, but the grant writers need to find a way to use their writing to turn their program from a mental abstraction into a flesh-and-blood event shaping real people's lives. One powerful possibility of narratives—recognized by rhetorical theorists from Aristotle to Chaim Perelman in the mid-twentieth century—is the ability of stories to make abstract concepts present, to "bring them before the eyes" of rhetors encountering the narrative. Perhaps the most powerful recent example of such presence in 2016 is the widely seen image of a drowned Syrian refugee boy lying on a beach. The effect of this narrated image (the photo always appears with a caption or news story explaining what it portrays) is precisely that "making present" of an abstract idea. It is easier not to care about "refugees" when you've never been forced to look at one. (Just as it is easier, for example, to mock and fear gay people if you believe none of your family or neighbors are gay—which is to say, if none are present to you.) Jayla and Maria would be wise, therefore, to try writing a narrative that brings the children their program will affect "before the eyes" of the grant reviewers.

Rhetorical Appeals

Narrative and presence are just two of many tools rhetors have for making knowledge. Several others are kinds of appeals, in the typical sense of "things I can say to try to get you to see things my way." Aristotle observed that people usually make three overall kinds of appeals (pistis, in Greek): to logic (logos), to emotion (pathos), and to a rhetor's credibility (ethos). We still use these categories, although the ensuing 2,500 years of rhetorical theory have given us more to say about them.

Most decision-making is ultimately based on values, deeply held (sometimes entirely unconscious) beliefs about what is desirable, necessary, important, pleasurable, and valuable, as well as what is dangerous, destructive, contrary to self-interest, painful, or unpleasant. Maslow's hierarchy of needs (see Figure 1, p. 470) is a good set of categories of human values (physiological, safety, love and belonging, self-esteem, and self-actualization). If we like or desire a thing, we have a value for it, or for what it represents; if we dislike or reject a thing, we
ABRAHAM MASLOW
HIERARCHY OF NEEDS

Figure 1 Maslow's Hierarchy of Needs

have a value against it or what it represents. Human interaction is relentlessly based on and driven by values. Something as simple as asking your roommate to turn on a light relies on a range of values: the security value of preferring light to darkness, the esteem value of assuming you’re worthy of asking other people for help, the altruism value of knowing that your roommate will be happy to offer such assistance. If your desire for the light, or the light your roommate chooses to turn on, go beyond the pure utility of lighting a dark space and is a decorative or artful light, then your request also engages aesthetic values and a desire for beautiful pleasures.

Social-issues discussions are hugely value-driven, as George Lakoff has demonstrated in his book Moral Politics. He argues that much of politics on the left and right in the United States can be attributed to two value sets: a conservative “strong father” set that values self-reliance, “tough love,” “rugged individualism,” and (therefore) private solutions to problems; and a liberal “nurturing parent” set that values inter-reliance, taking care of others, commumality, and (therefore) public solutions to problems. What seems to us the best ways to address child poverty, apportion public lands for multiple uses, develop energy to power our lifestyles, maintain public safety, and explore outer space—all depend on our value sets. We will be attracted to solutions and policies that accord with our values, and we’ll think that solutions and policies that contradict our values—our deepest beliefs about how the world does, can, and should work—are bad ideas.

Values are not always in conflict, of course. Most human interaction and society is actually based on values held in common. *Culture* is simply the sum of a community’s shared values. Together, these values, and the common knowledge they’re based on, fuse into stories that ancient Greek rhetors referred to as *mythos*, accounts of how the world works that are shared by entire peoples. Unlike today’s derivative English word *myth*, which in everyday speech means a fantasy or fiction, *mythos* spoke to the deepest foundational beliefs a people could share. According to philosopher Robert Pirsig in *Zen and the Art of Motorcycle Maintenance*, *mythos* is the sum of a culture’s shared knowledge, “the huge body of common knowledge that unites our minds as cells are united in the body” (350). Today our mythos includes a heliocentric solar system in which planets revolve around the sun, which is one member of one galaxy among billions speeding through an expanding universe. Our mythos includes the notion that lifeforms are comprised of cells whose shape and functions are determined by DNA and constant adaptation and mutation. Part of our mythos, in other words, is physical information and our interpretations of it. Another part is “common sense”: Take an umbrella when you’re going out on a rainy day. Avoid sick people to help keep from getting sick yourself. Eat a balanced diet. (Now if we only knew what counted as “balanced.”) And one kind of common sense is our deepest foundational values. Killing puppies is bad. Treasure innocence. Protect the weak. How deep does mythos run? Pirsig argues that it is our rock-bottom definition of *sanity*: to believe something other than your culture’s mythos is to appear insane to your fellow humans. Or, from a different direction, if you want to map our mythos, look at what counts as *sanity* by seeing what counts as its opposite, insanity.

This feels, maybe, a long way from Ian, Jayka, and Maria’s grant writing for Reading Rivers, but it’s not. Look at the roles mythos and values play in their grant proposal:

**Mythos:** Literacy, as reading and writing ability, is one of the most important kinds of knowledge a person can have.

**Value:** One good use of tax dollars is to provide for needy people in difficult economic circumstances.

**Mythos:** Caring is demonstrated, and problem-solving is facilitated, by directing shared resources or spending to people who lack it.

**Mythos:** Extraordinary resources should be devoted to the care of children.

**Mythos:** Every American is supposed to have an equal opportunity to live a “good life” of economic success.

**Value:** Public funds should be used to support arts-related experiences.
The difference between mythos and values is simply what proportion of the public believes, and how deeply they believe, the particular story being told. But in all these cases, our grant writers’ reasons for arguing for a grant come down to shared cultural beliefs—their mythos and values—about what is good and valuable for members of society.

Traditional rhetorical theory talked not about appeals to values but to emotion, via the pisti of pathos. What’s the connection? Emotional appeals—usually portrayed as tear-jerking or emotionally manipulative claims—are in essence direct appeals to mythos, because emotions are the body’s way of expressing our most deeply held underlying values and desires. The bodily sensations we construct as emotions arise from the intersection of signals and values. Anger, fear, joy, shame, love—you literally feel these in your guts, and you might also choke up, break out in a sweat, blush, have your mouth go dry, cry, or tingle. Emotions unite our deepest feelings and the values they’re based on, and express these through bodily means. You feel rage when powerful people take advantage of helpless people. You feel terror when you encounter a threat to your life. You feel joy when something so right happens—it is “so right” because it aligns with your deepest values for the best of life. Emotions therefore are evidence of the immediate and visceral interpretation of experience (sensory signals) through underlying values, and they are some of our most powerful motivators. Nineteenth-century rhetorician George Campbell recognized this when he distinguished between convincing and persuasion. It’s very easy, he said, to get people to believe things with their minds—say, in our own times, that it’s really very sad that children in some nations of the world die of starvation simply because the rich nations won’t help them. But to move people to action, Campbell said, they can’t just think—they have to feel, literally in their guts, the problem. Persuasion, he argued, goes beyond convincing by actually moving people to action through feelings. We can’t just convince your mind that kids are dying of starvation; we are most likely to move you to spend money on the problem by making you feel bad about it. (See pictures of skeletal kids.)

It’s a question that Maria and Jayla need to keep in mind as they write their grant: will their proposal do to get reviewers not just to think about the problem they’re trying to solve—which does not make the problem sufficiently present to move the reviewers to action—but how to feel the problem? Because reviewers that both think and feel the problem will be more likely to want to try to find solutions to the problem. The knowledge that Maria and Jayla have to make for their reviewers isn’t just informational, it’s emotional—they need to find a way to associate the problem and solution they’re advocating with their reviewers’ existing knowledge of values, their mythos.

One major set of values the reviewers have is for reasoning, for careful, logical analysis, what Aristotle called logos. Reasoning is a culture’s set of rules for how to draw conclusions based on inference. Formal logic is one set of rules for doing so, but in most Western cultures, we actually use more informal kinds of reasoning because they are quicker and in many ways more reliable. The statement “It’s raining, I’d better take my umbrella” isn’t actually logical, but it makes perfect sense to anyone who understands what “rain” and “umbrella” mean. (It’s not logical because it leaves out too many premises to permit the leap the statement makes. Formal logic has to state all of its premises. Which is why so few people use it; it feels inhuman.) We start with a claim, “I’d better take my umbrella,” and we’re given one reason, because “it’s raining.” The warrants, or unstated premises, that connect the reason to the claim are numerous. Rain makes you wet. Rain happens outside. You’re going outside, into the rain. Being wet is unpleasant and you don’t want the rain to make you wet. Umbrellas can help keep you dry because they can keep rain from hitting you. As soon as we write out all these warrants, they turn into reasons if the audience already agrees with them, or into claims if the audience doesn’t automatically agree. But most listeners would automatically agree with all these premises, so why state them to begin with? By treating them as warrants which can go unstated, we save time by relying on listeners’ “common sense.”

In Western rationality, the vast majority of our reasoning is of this informal sort in which we rely on the shared prior knowledge of other rhetors to “complete” most of our arguments. When we say “Don’t drink and drive, because it raises your chances of getting in an accident!” we don’t also have to say “And car accidents are bad and they might even kill you”—we all know that already. In most arguments, we’re actually just disputing what one rhetor thinks is a warrant but other rhetors want to treat as a claim that requires support. There is actually a Flat Earth Society, for example, that argues against the notion that Earth is round-ish. Most of us treat “the earth is round” as a warrant—we simply take it for granted, as having been incontrovertibly proven long ago. But as Margaret Kantz demonstrates, a fact is simply a claim that a community has agreed is true.

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*Donna Strickland considers the relationship between body knowledge, felt sense, emotion, knowledge, and belief in her article “Before Belief: Embodiment and the ‘Trying Game.’”

*Those readers raised in or familiar with Western cultures may object; rhetoric’s insistence that emotions not only do matter but should matter to our decision-making is certainly a minority viewpoint. Most of us are taught that arguments should not rely on emotional appeals for their power, and that those which do are suspect. But this objection would be more convincing if those who most often raise it didn’t get so emotional about it.

*In Greek, logos means both “words” and “reasoning;” a fascinating association, when you think about it.
Flat Earth Society adherents change the warrant to a claim and contest the evidence that most people already think proves the earth is round.8

What kind of reasoning will Maria and Jayla use in their Reading Rivers grant proposal? Their claims will likely include that the children their grant will support are disadvantaged in ways that the grant could help, and that helping these disadvantaged kids with after-school programs to boost reading and literacy is a good investment. To support those claims, they’ll need evidence both of the problem they’re claiming exists and for the assertion that the after-school program they propose will help solve that problem. They might also need to demonstrate how necessary these grant funds really are — that they can’t just get the money from someplace else. And, of course, they’ll need to be aware of their warrants — relying on them heavily when they believe the grant reviewers will share them, and exposing and converting warrants to supported claims when the grant writers think that the reviewers may not share their warrants. They also need to understand that warrants are how values (including myths) connect into reasoning. In fact, a great proportion of warrants are values. In their grant proposal, Jayla and Maria will probably rely on the warrant that taxpayer funds are an optimal source of money for educational work. They can assume that their reviewers, who are employed for the very purpose of designating taxpayer funds for education, will share this underlying value, so much that the writers won’t even need to make this point. Everyone will just assume it.

At the beginning of my discussion of reasoning, I asserted that reasoning is actually a value for most people in western cultures. By using modes of reasoning they anticipate their reviewers will respect, Maria and Jayla can actually turn their reasoning appeals into value appeals, which are more powerful. They can expect their reviewers to value, for instance, specific examples of general statements they make. Example is one of the main ways we have of offering evidence for our claims. Similarly, Maria and Jayla can predict that their reviewers will value evidence which is well documented and generally accepted as factual. It is important that their grant proposal engage both reasoning and values that the reviewers will recognize and respect.

We are moving very quickly through some rhetorical principles that help rhetors predict the most successful ways of interacting with other rhetors, and that help them interpret what other rhetors are offering in the interaction. There remains one significant principle to finish the explanation of how Jayla and Maria might write the best possible grant proposal, and it returns again to having their values recognized by their reviewers.

Identification

If we were to ask Jayla and Maria, “What are you trying to achieve in writing this document?” one response might be “We’re trying to gain funding for this after-school program.” But there’s a much more powerful answer than that. What the grant writers are trying to achieve is identification with their proposal reviewers. They’re trying to get their reviewers to see, feel, believe, and think what the writers themselves do. They want their reviewers to identify with them and their argument so completely that the only thing that makes sense to the reviewers is to fund the proposal. But what is identification, and how do rhetors achieve it with one another?

Aristotle recognized the basic truth that whether people listen to rhetors depends on whether they find them credible, and he classified appeals to credibility as related to ethos, Greek for something like “one’s accustomed place or habit of being” — the idea that you know someone by where they dwell, by their “haunts.” He suggested that rhetors get a sense of each other’s ethos in three ways: by assessing their expertise, their moral character, and their goodwill toward other rhetors — their willingness to put others’ interests first. We are likely to find a rhetor who shows us authority, character, and goodwill trustworthy. Ethos ultimately addresses the question, how does who you are influence what you’re saying and how others should respond?

But how do we gauge a rhetor’s expertise, morality, and goodwill? We have to compare them to our own sense of what is authoritative, in good character, and in our own interests. We are holding the image that the rhetor presents up to the mirror of our own values, and what we want to see is ourselves looking back. When we trust a rhetor deeply, it is because we see some important aspect of ourselves in them. This is what rhetorical theorists mean by the term identification. As Kenneth Burke put it, “A is not identical with his colleague, B. But insofar as their interests are joined, A is identified with B. Or he may identify himself with B even when their interests are not joined, if he assumes that they are, or is persuaded to believe so.” (544). Rhetoric, Burke says, is “the speaker’s attempt to identify himself favorably with his audience” (561).

How, though, do we know “who” a rhetor “is”? Identification relies first on identity, both of speakers and listeners. Jayla and Maria want to use their

8Many have discussed this concept, but my main encounter with it is through Nedra Reynolds’s Rhetoric Review article “Ethos as Location.”
grant application to attempt to build identification with their reviewers, which requires them to have some sense of who those reviewers are. The work of John Ramage, a contemporary rhetoric theorist, suggests that Jayla and Maria have three ways of understanding their reviewers' identities. The first, Ramage calls givens—identity that derives from the physical and social characteristics we're born with, such as sex, shape, ableness, ethnicity, place of birth, and status of parents. The second, Ramage says, are readymades—cultural identity "containers" which others apply to us. If you've seen The Breakfast Club, you know the student readymades in it were jock, geek, beauty queen, basket case, and criminal. Readymades are too often stereotypes, but some others are not terribly inaccurate. Jayla and Maria will apply some readymades to their reviewers, who will be "academics" or professor-types (who the Department of Education asks to review grants), and their areas of expertise will be literacy and education. These readymades tell Maria and Jayla a lot about their reviewers' needs, values, and expectations—what kinds of evidence they are likely to find convincing, what situations the reviewers are likely to be in as they review, what the reviewers are likely to have read and be familiar with—what they already know, and what the grant writers will need to "teach" them in their application. Ramage's third identity category, constructed identities, are those that people build for themselves by blending given, readymades, and their own interests and unique ways of being. (I, for example, am a professor of rhetoric and writing who is also a techie-gearhead and grooves on motorsports racing and building loud stereo systems, which makes me both a very predictable professor in some ways and a fairly unusual one in others.)

Maria and Jayla's grant reviewers will similarly be trying to build a sense of the writers' identities, in part because the reviewers are trying to decide whether approving the Reading Rivers proposal will be a safe investment. Do the people who are writing the grant actually seem qualified and able to do what they're promising to do with the grant funds? This depends in part on who the writers are. So the grant proposal rules will require applicants to include their professional resumes to demonstrate their track-records with similar projects, and the reviewers will be trying to build a sense of Jayla and Maria's professional identities.19

If Maria and Jayla's grant proposal is successful, it will be because reviewers "identify with" them as credible grant writers, with their project as sharing the interests that the grant is meant to support, and with the people whom the grant will benefit. That is, the reviewers will see in the grant proposal, its subjects, and its writers, the reviewers' own needs, values, and expectations for the grant reflected back at them. Jayla and Maria will have made knowledge for the reviewers of how their proposal does those things.

A final point about rhetoric as knowledge making. While "persuasion" is certainly one popular term for what rhetorical interaction is often attempting to achieve, philosopher Chaim Perelman gave us a better term back in the 1970s: adherence. (Like the adhesive on a Post-It note.) Perelman said, in everyday reality, we don't so much experience identification as all-or-nothing, or absolute. Rather, we experience it as other people more-or-less going along with us, to varying degrees.11 It is more reasonable to seek adherence than conversion. Of course, Jayla and Maria want their grant reviewers' complete adherence to their ideas; but what might actually happen could be a judgment by the reviewers that "we really like your idea but this isn't the right grant to fund it; apply to that other one instead and see what happens," or "we really like your idea but we only have enough funds to give you some of what you asked for." The notion of adherence helps us understand responses like these. It more accurately reflects what human knowledge is actually like: provisional, evolving, partial, and variable.

RHETORICAL COMPOSITION AND INSCRIPTION: FIVE CANONS

What remains to explore in this paper is rhetoric's explanation of the various aspects of creating discourses and texts. Aristotle identified five rhetorical arts or canons involved in the making of any text or discourse:

- **Invention**: coming up with what to say, developing the material for your piece. The grant writing team has to come up with the arguments and examples they'll use in their proposal. They "invent" the material in the piece.
- **Arrangement**: deciding what order that material should go in and what parts your piece needs. It's not enough for the grant writers to know what to say; they also have to know what parts their proposal will have, and what order the parts will go in, and what of their invented material will go in each part. (The instructions for the grant proposal will usually tell them some of this.)

19 Some grant applications—some federal, many state—are reviewed "blind" with reviewers not knowing who the writers are. This prevents bias for or against a writer based on reputation, which can be necessary; but it also limits the quality of information reviewers have about who is doing the work, which has its own cost.

11 Lorraine Code describes one aspect of rhetorical identity as a rhetor's affectivity, their "commitments, enthusiasms, desires, and interests" (46). This aspect of identity is what interfaces with a given set of ideas (or another rhetor as a whole) to create adherence.

12 "Canon" in the sense of "a body of rules or principles which are agreed upon as fundamental to an art."
Style: crafting the particular expressions of your material to make it best suit the ecology. Our grant writers need to know what grant proposals of the kind they’re writing sound like, and create that sound style. Within that sound, they have to decide the best words and sentence patterns to use to express their ideas and voices.

Memory: recording your arranged, styled material in biological, technological, or cultural forms. The grant proposal will have specific “memory” requirements, such as what file formats to save the proposal in.

Delivery: publishing your composition for other rhetors to encounter via specific modalities. The grant writers have to know how to send their proposal to reviewers, and in what form reviewers will need to work with the proposal.

Aristotle was thinking primarily of oratory when he named these activities, but they continue to work well centuries later in describing writing on paper, writing electronically for screens and audio, and writing in networked digital spaces of instant sharing and collaboration. The main distinction we would add to the canons is that invention, arrangement, and style deal primarily with composing, while memory and delivery deal with inscribing the composition, making a more or less permanent and portable “recording” of the ideas in the composition. It is via inscription, whether by voice, writing, or other visual means, that rhetoric gains its intentional character by rendering private thought as a shareable, material experience.

Because the canons cover the main functions of composing a text or discourse, they may appear to present a firm process leading from one canon to the next and then on to the next. Remember, however, that because rhetoric is interactions, composing a text is itself a rhetorical interchange, at least with yourself as a rhetor but also usually with other rhetors—people you talk to along the way, bounce ideas off of, ask for help from, get directions from, ask to read a draft of your piece, etc. Because it’s an interaction, both in your own mind and with others, it won’t go “in order.” Imagine our grant writers handling invention and arrangement: part of their deciding what to say is deciding what order to put it in. But there is more: Style is invented, and how they say a thing will change what they say. In our current technological state, where you have to care about what modalities you present your discourse in (Face-to-face? Paper? Screen? Verbal! Visual! Audial!), delivery influences invention—what you want to create partially shapes what you find it important to say, and vice versa. Our grant writers will say different things in a Word document than they would say in a spreadsheet or a graphical presentation. Each canon interweaves and interlocks with the others. Rhetors need to be comfortable with (or find ways to tolerate) this inevitable flux.

Current technology also requires comfort with a wide range of ways of working on each canon. Take invention: The Greeks understood invention as looking in various places in one’s memory, and in cultural knowledge, for existing wisdom in topoi that applied to what one wanted to say. The nineteenth-century romantics believed invention was essentially the opposite: unique inspiration that let a speaker say something that had never been heard before. At the beginning of the twenty-first century, we have an unimaginable network of inscribed compositions, the Internet, which makes much of our invention an act of curation: managing existing ideas and remixing them into new expressions. Or consider arrangement: Our word-processing technologies make it incredibly easy to move pieces around in our documents, and even to keep track of separate versions of our document and compare changes among them. Yet it is comparatively difficult to see two widely separated places in a document at the same time when it’s on screen. That kind of vision of a work requires printed pages. (Or multiple monitors and copies of documents.) And delivery: technology can make rhetorical interaction immediate rather than sequential. Aristotle imagined rhetors completely composing speeches and then delivering them. Today, multiple writers can simultaneously edit a document, and speeches can be composed on social media in the very act of delivering them to a world of readers. Making knowledge through rhetorical interaction is indeed a shared, collaborative, and in-the-moment activity.

SUMMING UP

At the beginning of this article, I said that rhetoric offers principles of human interaction—it shows us how we make up our minds, how we change them, how we make meaning, how we know what we know, and how to help people identify with us. I also said this set of principles, when set in motion, is incredibly entangled—every rhetorical principle seems to link to every other rhetorical principle. It’s a lot to keep track of. By following the explanations and the example of the grant writers, you’ve gotten at least a glimpse of how these things

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13This is a distinction best made by Paul Prior in his book chapter “Tracing Process: How Texts Come Into Being”

14The word topic shares its root with topography, “the lay of the land”; in Greek, topos means “place.” Aristotle created a list of “common topics,” the Topoi, which was essentially a list of the myths of his day that related to reasoning. It included definition, comparison, relationship, and other categories of reasoning one could use to understand a subject they were arguing about. Today we would call them a special set of warrants.

15For example, by memes or by sampling (in music). Thanks to my MSU student Andy Meyer for calling my attention to curation as it relates to rhetorical invention.
could actually be—what some of these rhetorical principles are, and how they actually come into play when we try to make decisions, decide who and what to believe, consider how to present ourselves to others, and try to understand where other people are coming from and why our interactions with them take the shape that they do.

If it hasn't happened already, you'll probably eventually get tired of hearing the term rhetorical applied to everything—and the more you think about it, the more you'll probably also start applying it to everything yourself. So let me finish by trying to say more about what that term actually implies and requires. When we say that something is rhetorical, we're saying that it has the qualities of rhetoric, which are these: This "rhetorical" thing is situated, meaning that it happens in a particular place, time, and moment, and therefore that it cannot be universalized. It is motivated, meaning that there is some motive behind it, that therefore it is subjective rather than objective, and that our interpretation of it will depend in part on our understanding what motivates it, its exigence. It is contingent, meaning that its shape depends on the situation, exigence, and motivations that call it into being, and that it must be unique to its situation, not purely determined by pre-existing, universal rules. It is interactional, meaning that it can only exist in the interaction between itself and the rhetor who shapes its meaning. It is epistemic, meaning that it creates knowledge for the rhetors interacting with it, rather than merely transmitting pre-existing knowledge unaltered from one rhetor to another. And it is embodied, meaning that it takes material form and that its material form shapes the interaction and rhetors' interpretations of it; it cannot be "just ideas."

As one who is still learning to understand rhetoric after twenty years of study, and who needed more than ten years of that study just to come to the description in the previous paragraph (and all twenty to come to the totality of this paper), I don't expect everything in that last paragraph to make sense to you right now. I offer it instead as a jumping off point for things you should be trying to understand as you continue to study rhetoric.

Works Cited


Questions for Discussion and Journaling

1. Compare how you've thought of rhetoric in the past to how it appears to you after this reading. Have you shared one of the conceptions listed in the beginning of the article on pages 458–59? What differences between your previous thinking and what you think now are most obvious? Are there similarities?

2. Using your own words, explain what the term epistemic means. What is the difference if rhetoric is epistemic versus if it is not?